

UA LOCAL 467 DEFINED CONTRIBUTION PLAN

Balance Sheet

As of September 30, 2016

	<u>Sep 30, 16</u>
ASSETS	
Current Assets	
Checking/Savings	
1030 · Transit	311,943.97
1070 · Admin Commercial	6,066.97
1100 · Benefit Commercial	537,786.40
1118 · Loan Savings	68,276.20
1128 · Loan Commercial	821.90
Total Checking/Savings	<u>924,895.44</u>
Total Current Assets	924,895.44
Fixed Assets	
1630 · Broadridge a4554	62,959,300.90
1631 · Broadridge f4554	354,141.63
Total Fixed Assets	<u>63,313,442.53</u>
Other Assets	
1820 · Loans Receivable	1,512,920.71
1842 · Contributions Receivable	258,766.99
1863 · Due from Other Funds	569.35
1865 · Prepaid Fiduciary Liability Ins	9,356.23
1869 · Prepaid Benefits	46,671.78
Total Other Assets	<u>1,828,285.06</u>
TOTAL ASSETS	<u><u>66,066,623.03</u></u>
LIABILITIES & EQUITY	
Liabilities	
Current Liabilities	
Accounts Payable	
2010 · Accounts Payable	4,689.54
Total Accounts Payable	<u>4,689.54</u>
Other Current Liabilities	
2300 · Due to Other Funds	569.35
Total Other Current Liabilities	<u>569.35</u>
Total Current Liabilities	<u>5,258.89</u>
Total Liabilities	5,258.89
Equity	
2740 · Beginning Balance, Jan 1st	61,909,563.19
Net Income	4,151,800.95
Total Equity	<u>66,061,364.14</u>
TOTAL LIABILITIES & EQUITY	<u><u>66,066,623.03</u></u>

UA LOCAL 467 DEFINED CONTRIBUTION PLAN

Profit & Loss

September 2016

	<u>Sep 16</u>	<u>Jan - Sep 16</u>
Income		
3020 · Contributions	200,325.62	1,717,069.70
3041 · Contributions - Reciprocity	34,407.41	253,282.06
3042 · Reciprocity - Outgoing	(8,425.00)	(27,823.20)
3045 · Contributions - 401K	327,450.25	2,301,671.25
3310 · Interest - Investments	33,021.75	35,353.94
3320 · Interest - Bridge Bank	18.50	225.82
3345 · Interest - Loans	3,981.97	38,513.78
3346 · Investment - 12b1 Income	1,170.98	95,317.71
3350 · Dividend Income	32.20	165,592.55
3430 · Realized Gain - Investments	4,836.60	(137,479.46)
3432 · Unrealized Gain - Investments	308,354.66	2,071,424.54
3616 · Loan Application Fee Income	250.00	2,375.00
3618 · Late Charges - Loans	195.00	2,040.00
3893 · Fund Fee Recoveries	30,236.72	56,782.40
Total Income	<u>935,856.66</u>	<u>6,574,346.09</u>
Expense		
3100 · Outgoing Reciprocity	1,568.00	7,612.00
5160 · Net Benefits	182,157.97	2,068,617.42
5200 · Tax Withholding	47,204.17	152,424.02
5260 · Loan Processing Fee	1,245.00	13,840.00
6060 · Administration - #467	396.67	3,570.03
6080 · Administration - UAS	500.00	4,500.00
6085 · Administration - Reciprocity	49.50	445.50
6120 · Audit	1,562.00	11,641.00
6240 · Insurance - Fiduciary Liability	1,559.38	13,768.29
6261 · Money Mgmt. Fee-New Cent. P...	0.00	44,353.00
6270 · Recordkeeping Fee - Kaufmann	18,501.56	36,904.11
6296 · Corp.Co-Trustee - Wilmington	26,400.94	26,400.94
6297 · Corp.Co-Trustee - Broadridge	0.00	24,408.01
6345 · Legal - Neyhart	440.25	5,794.17
6365 · Loan Application Fee	200.00	1,500.00
6370 · Credit Check Fee - Equifax	125.26	1,127.73
6480 · Postage	14.74	391.13
6500 · Printing	41.45	5,239.73
6650 · Telephone	1.10	8.06
Total Expense	<u>281,967.99</u>	<u>2,422,545.14</u>
Net Income	<u><u>653,888.67</u></u>	<u><u>4,151,800.95</u></u>

U.A. LOCAL 467 Pension Trust Fund
Broadridge, Part B
Investment Recap as of 09/30/2016

	COST	MARKET
Common Stock	\$ 53,977,986.15	\$ 62,959,300.90
Corporate Bonds	0.00	0.00
Short-Term Investments	354,141.63	354,141.63
TOTAL INVESTMENTS	\$ 54,332,127.78	\$ 63,313,442.53

Total Investments @ Cost		\$ 54,332,127.78
Unrealized Gain		8,981,314.75
TOTAL INVESTMENTS @ MARKET		\$ 63,313,442.53

Ending Market Value Last Month		\$ 63,120,530.52
Transfer from Transit Account		520,928.15
Transfer to/from Benefit Ckg		(623,531.39)
Transfer to/from Loan Cog		(25,000.00)
Transfer to/from Admin. Ckg		0.00
Transfer to/from Loan Savings		0.00
Interest & Dividend Income (net of Accrual)		33,053.95
Investment - 12b1 Income		1,170.98
Cost Adjustments - Net Century Partners		0.00
Recordkeeping Fee - Kaufmann & Goble		(500.00)
Broadridge Fees/Trustee Fees		(26,400.94)
Loan Fees - 467 Apprentice Trade Loan		0.00
Realized Gains (Loss)		4,836.60
Accrued Interest		0.00
Total Unrealized Gains to Date Last Month	8,672,960.09	
Total Unrealized Gains to Date This Month	<u>8,981,314.75</u>	
Net Change in Difference between Cost & Market Value		308,354.66
TOTAL INVESTMENTS @ MARKET		\$ 63,313,442.53